



**BAKER BOTTS LLP**

# FEE TRANSMITTAL for FY 2003

Effective 10/01/2003. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

**TOTAL AMOUNT OF PAYMENT** (\$)**180**

Complete if Known	
Application Number	09/938,744
Filing Date	August 24, 2001
First Named Inventor	Yumin Mao et al.
Examiner Name	Whisenant, Ethan C.
Art Unit	1634
Attorney Docket No.	A34606-PCT-USA-A

## METHOD OF PAYMENT (check all that apply)

Check  Credit card  Money Order  Other  None

Deposit Account:

Deposit Account Number  
Deposit Account Name

02-4377

Baker Botts LLP

The Commissioner is authorized to: (check all that apply)

Charge fee(s) indicated below  Credit any overpayments  
 Charge any additional fee required under 37CFR 1.16 and 1.17  
 Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1001 770	2001 385	Utility filing fee	
1002 340	2002 170	Design filing fee	
1003 530	2003 265	Plant filing fee	
1004 770	2004 385	Reissue filing fee	
1005 160	2005 80	Provisional filing fee	
<b>SUBTOTAL (1)</b>		<b>(\$)</b>	<b>0</b>

### 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	Extra Claims	Fee from below	Fee Paid
	- 20 =	0	0
Independent Claims	- 3 =	0	0
Multiple Dependent			

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
1202 18	2202 9	Claims in excess of 20
1201 86	2201 43	Independent claims in excess of 3
1203 290	2203 145	Multiple dependent claim, if not paid
1204 86	2204 43	** Reissue independent claims over original patent
1205 18	2205 9	** Reissue claims in excess of 20 and over original patent
<b>SUBTOTAL (2)</b>		<b>(\$)</b>

\*\*or number previously paid, if greater; For Reissues, see above

## 3. ADDITIONAL FEES

Large Entity Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
1051 130	2051 65	Surcharge - late filing fee or oath	
1052 50	2052 25	Surcharge - late provisional filing fee or cover sheet	
1053 130	1053 130	Non-English specification	
1812 2,520	1812 2,520	For filing a request for ex parte reexamination	
1804 920*	1804 920*	Requesting publication of SIR prior to Examiner action	
1805 1,840*	1805 1,840*	Requesting publication of SIR after Examiner action	
1251 110	2251 55	Extension for reply within first month	
1252 420	2252 210	Extension for reply within second month	
1253 950	2253 475	Extension for reply within third month	
1254 1,480	2254 740	Extension for reply within fourth month	
1255 2,010	2255 1,005	Extension for reply within fifth month	
1401 330	2401 165	Notice of Appeal	
1402 330	2402 165	Filing a brief in support of an appeal	
1403 290	2403 145	Request for oral hearing	
1451 1,510	1451 1,510	Petition to institute a public use proceeding	
1452 110	2452 55	Petition to revive - unavoidable	
1453 1,300	2453 650	Petition to revive - unintentional	
1501 1,330	2501 665	Utility issue fee (or reissue)	
1502 480	2502 240	Design issue fee	
1503 630	2503 315	Plant issue fee	
1460 130	1460 130	Petitions to the Commissioner	
1807 50	1807 50	Processing fee under 37 CFR 1.17(q)	
1806 180	1806 180	Submission of Information Disclosure Stmt	180
8021 40	8021 40	Recording each patent assignment per property (times number of properties)	
1809 770	2809 385	Filing a submission after final rejection (37 CFR 1.129(a))	
1810 770	2810 385	For each additional invention to be examined (37 CFR 1.129(b))	
1801 770	2801 385	Request for Continued Examination (RCE)	
1802 900	1802 900	Request for expedited examination of a design application	

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

**SUBTOTAL (3)** **(\$)****180**

## SUBMITTED BY

(Complete if applicable)

Name (Print/Type)	Rochelle K. Seide	Registration No. (Attorney/Agent)	32,300	Telephone (212) 408-2500
Signature	Rochelle K. Seide	Date	November 13, 2003	



A34606-PCT-USA-A 072975.0111

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Yumin Mao et al.  
Serial No. : 09/938,744 Examiner : Whisenant, Ethan C.  
Filed : August 24, 2001 Group Art Unit : 1634  
For : METHOD FOR LARGE SCALE cDNA CLONING AND SEQUENCING  
BY CIRCULATING SUBTRACTION

**SUPPLEMENTAL INFORMATION DISCLOSURE  
STATEMENT**

I hereby certify that this paper is being deposited with the United States  
Postal Service as first class mail in an envelope addressed to:  
Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

November 13, 2003  
Date of Deposit

Rochelle K. Seide  
Attorney Name

32,300  
PTO Registration No.

Rochelle K. Seide  
Signature

November 13, 2003  
Date of Signature

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

In accordance with 37 C.F.R. §1.56 and §1.97(c)(2), Applicant respectfully  
requests that the documents relating to the above-identified application listed herein in reverse  
chronological alphabetical order be considered and made of record in the U.S. Patent and  
Trademark Office.

1. Birkenmeyer LG et al., U.S. Patent No. 6,455,255 issued on September 24, 2002;

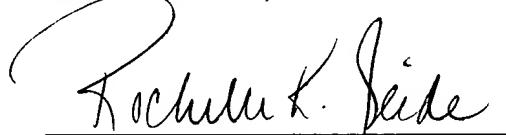
2. Fisher PB et al., U.S. Patent No. 6,051,376 issued on April 18, 2000; and
3. Fisher PB et al., U.S. Patent No. 5,643,761 issued on July 1, 1997.

The referenced citations are listed in the accompanying PTO Form 1449. Copies of the documents are submitted herewith in one bound volume. Identification of the documents listed in the attached PTO Form 1449 is not to be construed as an admission of Applicants or Attorneys for Applicants that such documents are available as "prior art" against the above-identified application.

Applicants enclose herewith the fee required under 37 C.F.R. §1.17(p) and §1.97(c)(2). Although Applicants do not believe that any additional fees are required with this paper, the Commissioner is hereby authorized to charge any fees occasioned by this submission not otherwise enclosed herewith to Deposit Account No. 02-4377. Please credit any overpayment of fees associated with this filing to the above-identified deposit account. A duplicate of this page is enclosed.

Respectfully submitted,

BAKER BOTTS, L.L.P.



Rochelle K. Seide  
PTO Reg. No. 32,300  
Attorney for Applicants

Guy F. Birkenmeier  
PTO Reg. No. 52,622  
Agent for Applicants

BAKER BOTTS, L.L.P.  
30 Rockefeller Plaza  
New York, NY 10112  
(212) 408-2500

Enclosures

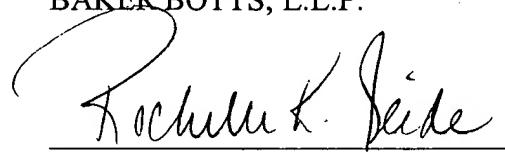
- 2: Fisher PB et al., U.S. Patent No. 6,051,376 issued on April 18, 2000; and
3. Fisher PB et al., U.S. Patent No. 5,643,761 issued on July 1, 1997.

The referenced citations are listed in the accompanying PTO Form 1449. Copies of the documents are submitted herewith in one bound volume. Identification of the documents listed in the attached PTO Form 1449 is not to be construed as an admission of Applicants or Attorneys for Applicants that such documents are available as "prior art" against the above-identified application.

Applicants enclose herewith the fee required under 37 C.F.R. §1.17(p) and §1.97(c)(2). Although Applicants do not believe that any additional fees are required with this paper, the Commissioner is hereby authorized to charge any fees occasioned by this submission not otherwise enclosed herewith to Deposit Account No. 02-4377. Please credit any overpayment of fees associated with this filing to the above-identified deposit account. A duplicate of this page is enclosed.

Respectfully submitted,

BAKER BOTTS, L.L.P.



Rochelle K. Seide  
PTO Reg. No. 32,300  
Attorney for Applicants

Guy F. Birkenmeier  
PTO Reg. No. 52,622  
Agent for Applicants

BAKER BOTTS, L.L.P.  
30 Rockefeller Plaza  
New York, NY 10112  
(212) 408-2500

Enclosures

Form PTO-1449 U.S. Department of Commerce  
 (REV. 2-27-87) & TRADEMARK OFFICE

Atty. Docket No.  
 A34606-PCT-USA-A

Serial No.  
 09/938,744

**INFORMATION DISCLOSURE STATEMENT  
 BY APPLICANT  
 (Use several sheets if necessary)**

Applicant  
 Yumin Mao et al.

Filing Date  
 August 24, 2001

Group  
 1634

**U.S. PATENT DOCUMENTS**

*Exam. Init.		Document No.								Date	Name	Class	Subclass	Filing Date if Appropriate
	1.	6	4	5	5	2	5	5		09/24/2002	Birkenmeyer LG et al.			
	2.	6	0	5	1	3	7	6		04/18/2000	Fisher PB et al			
	3.	5	6	4	3	7	6	1		07/01/1997	Fisher PB et al			

**FOREIGN PATENT DOCUMENT**

		Document No.								Date	Country	Class	SubClass	Translator Yes      No

**OTHER DOCUMENTS (including Author, Title Date, Pertinent Pages, Etc.)**


NY02:465493.1

Examiner \_\_\_\_\_ Date Considered \_\_\_\_\_

\* Examiner: Initial citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not conformance and not considered. Include copy of this form with next communication to applicant.